



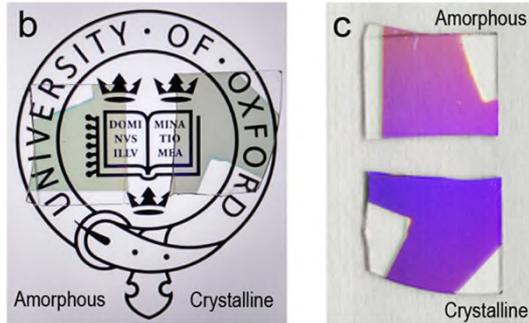
Functional materials for energy efficient devices

Harish Bhaskaran

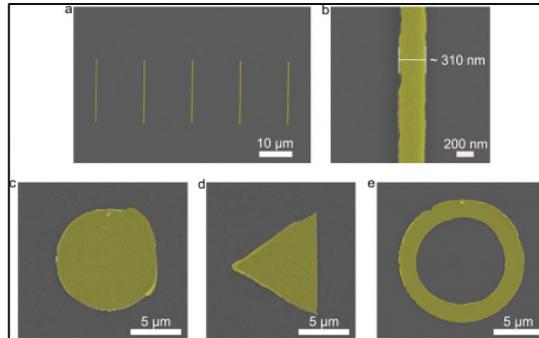


Talk Outline

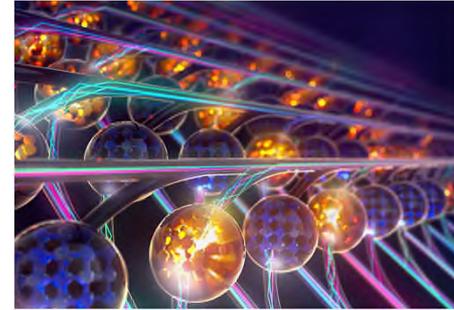
"Smart"
Windows



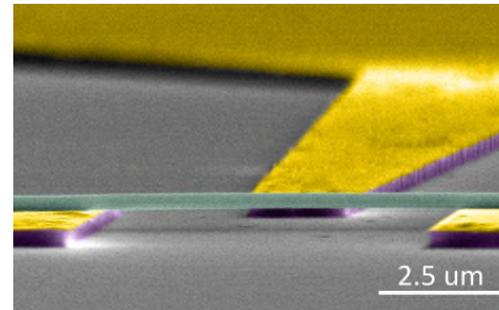
Sustainable Nano-
manufacturing



...enabled by nanoscale engineering using functional materials

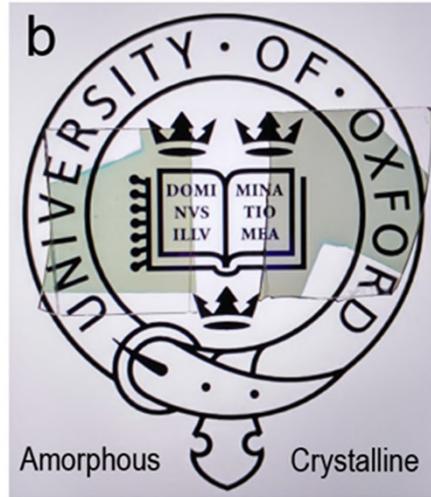


Photonic Computing
for AI and ML



Energy efficient
communications

"Smart"
Windows



Motivation



(image credit: Sławomir Kowalewski, <https://i.pinimg.com/450x/45/23/9/>)

- 20% to 40% of energy in developed countries is used to maintain indoor temperature¹
- Up to 50% of heat is lost through windows in winter²

[1] L. Pérez-Lombard, et al., *Energy Build.*, vol. 40, no. 3, pp. 394–398, Jan. 2008.

[2] S. D. Rezaei, et al., *Sol. Energy Mater. Sol. Cells*, vol. 159, pp. 26–51, 2017

Current smart window technologies

Solar modulation via tunable scattering (hydrogel, LC, nanoparticles, etc.)



X. Li et al., *Joule* 3, 290–302 (2019)

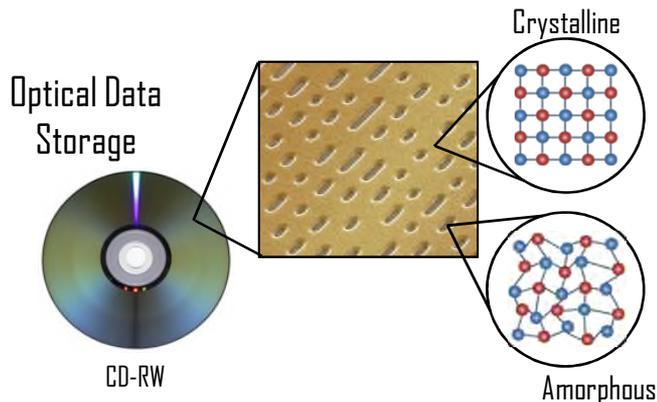
Solar modulation via tunable absorption (Reversible reactions, VO_2 , nanoparticles, etc.)



C. Barile et al., *Joule* 1, 133–145 (2017)

Majority of technologies strongly modulate visible transmission to achieve high solar modulation.

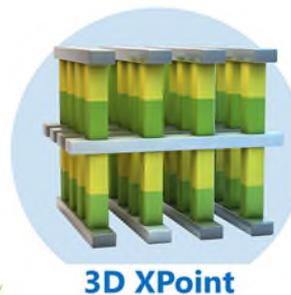
Phase-change materials as an optoelectronic platform



1000X
FASTER
THAN NAND

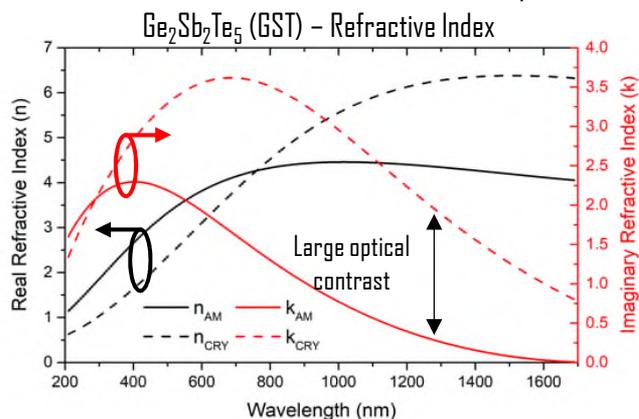
1000X
ENDURANCE
OF NAND

10X
DENSER
THAN CONVENTIONAL MEMORY

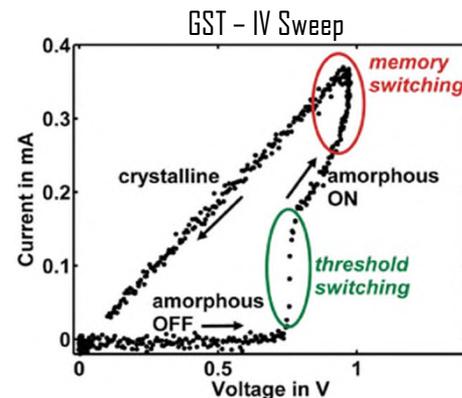


Electrical Data Storage

(Image source: Intel)

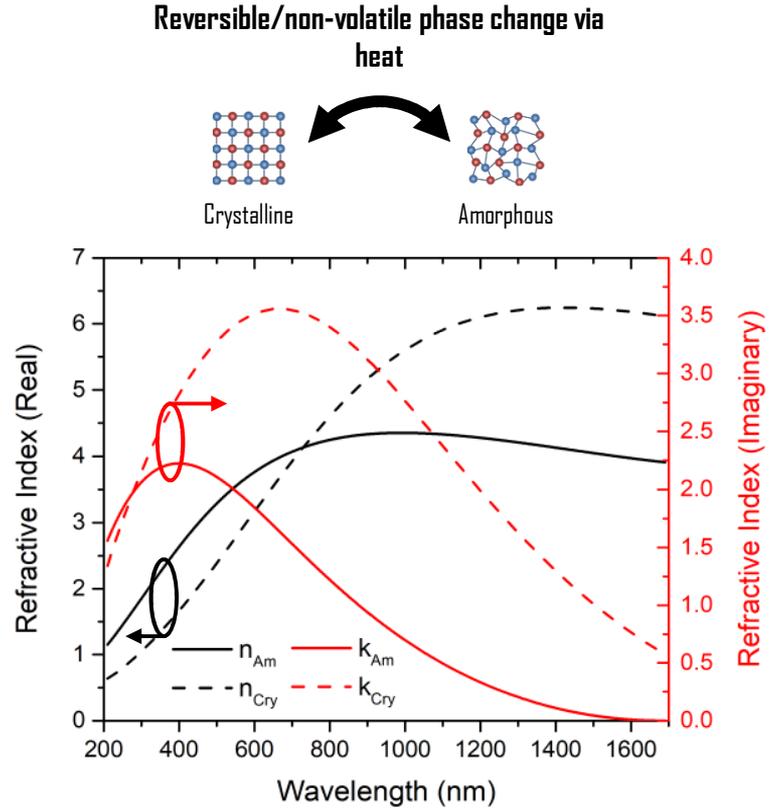
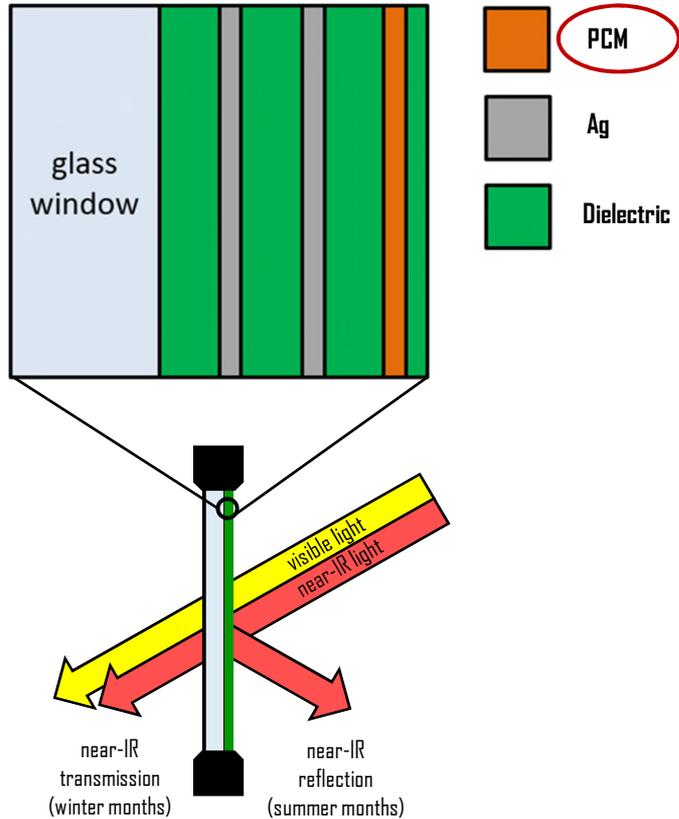


Pernice & Bhaskaran, *APL* (2012)

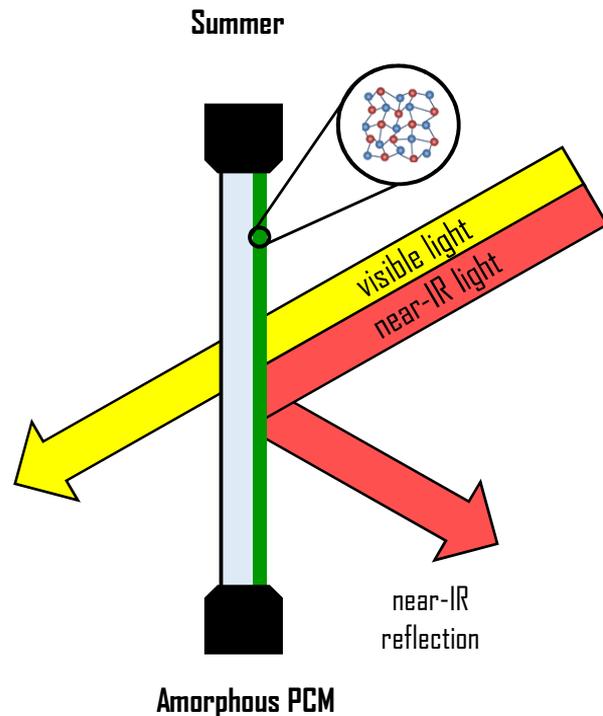
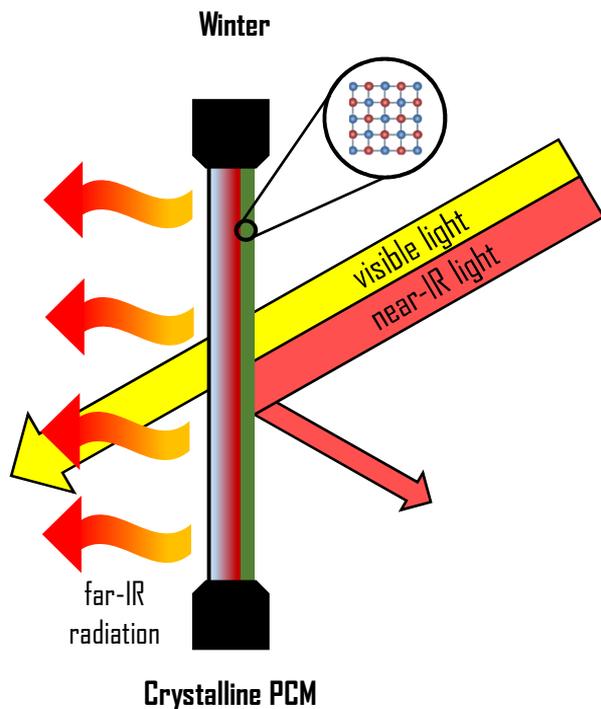


M. Wuttig et al., *Nature Mat.* 6, 824-832 (2007)

Smart window design



Smart window design



Nathan Youngblood
Former Postdoc, now Assistant Prof
at University of Pittsburgh



Peiman Hosseini
Former Postdoc, now Director of
Operations at Meta Materials

N. Youngblood et al., *ACS Photonics* 9(1), 90–100 (2022)

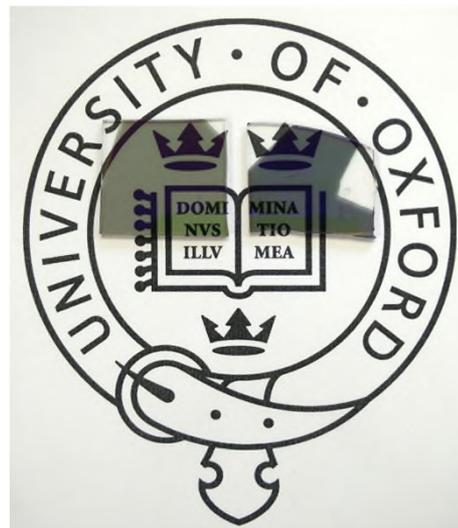
P. Hosseini et al., *Nature* 511, 206–211 (2014)

Visible properties



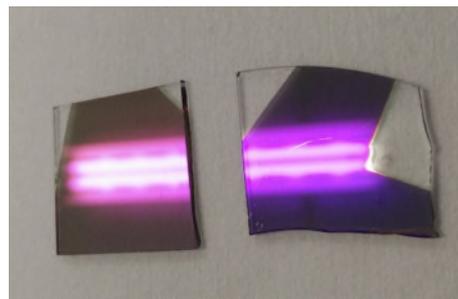
Amorphous

Crystalline

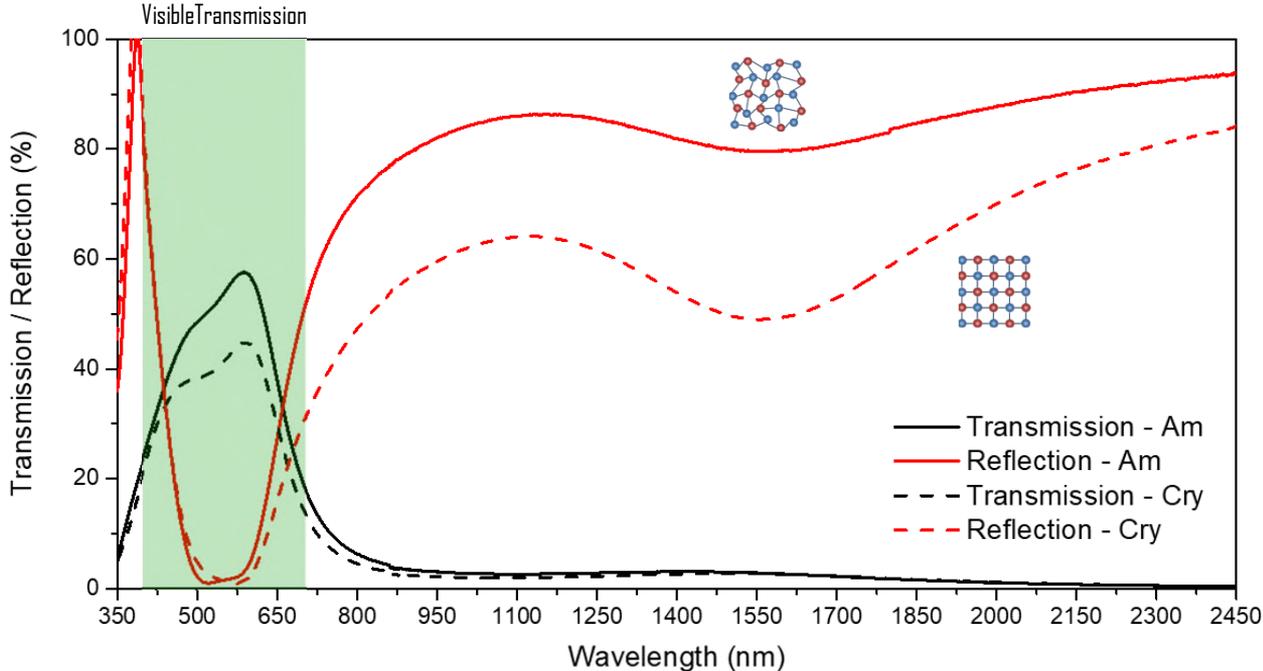


Amorphous

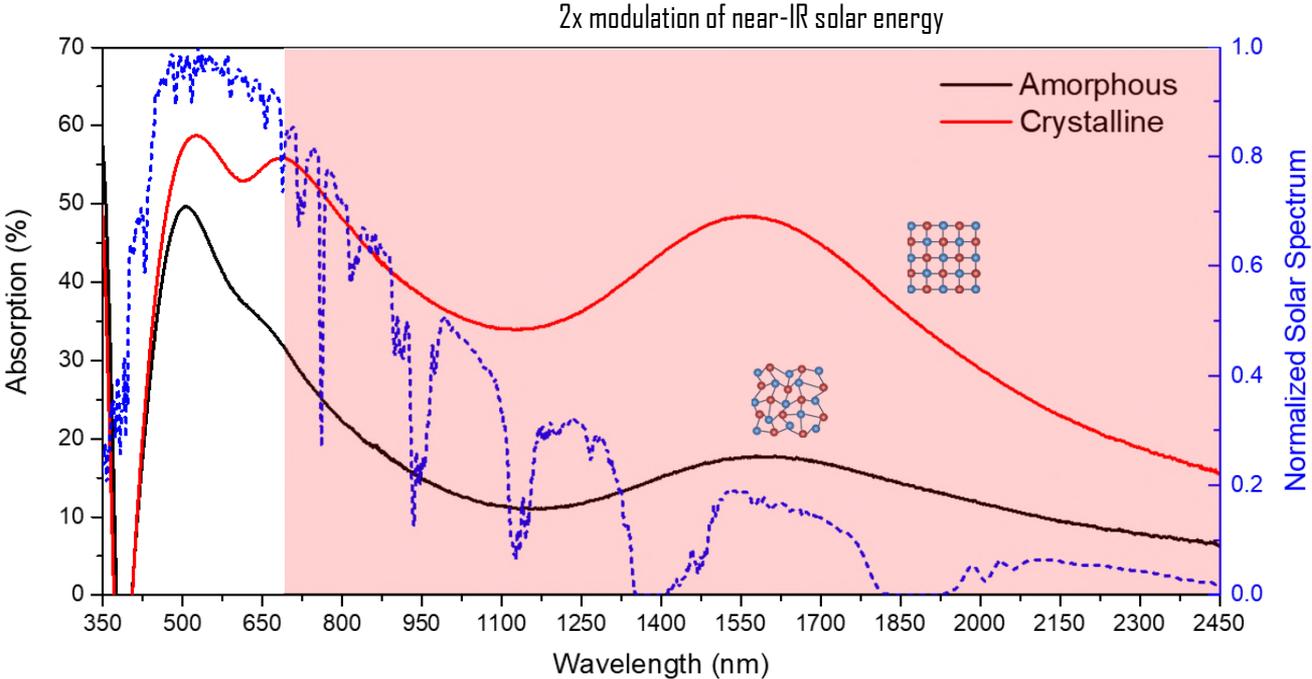
Crystalline



Spectral response

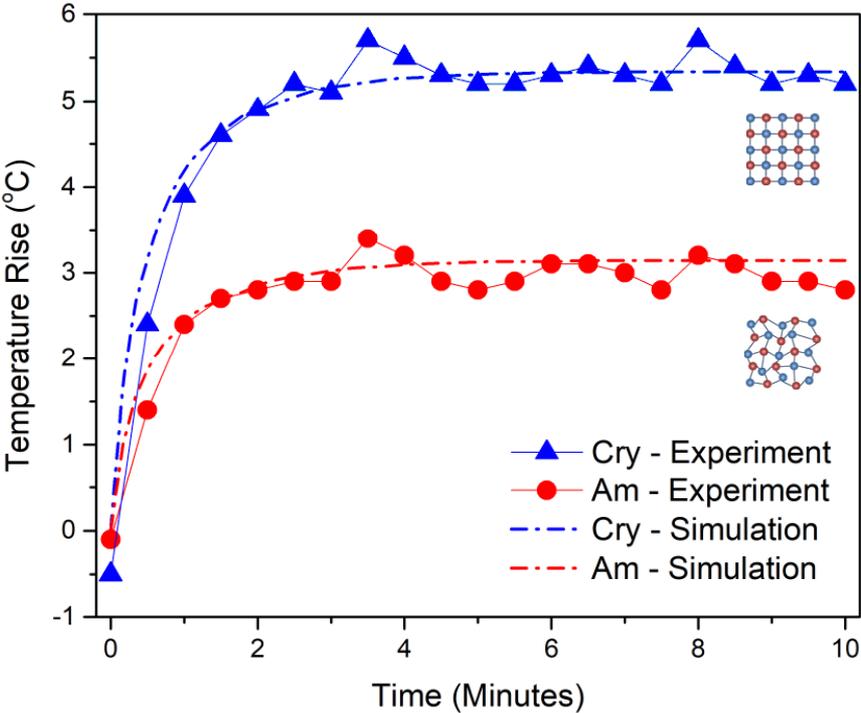


Spectral response

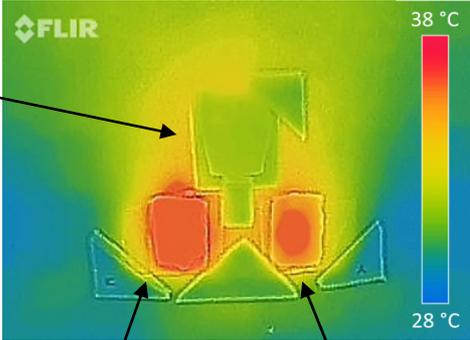


Reflects **78%** of near-IR energy in summer and absorbs **45%** in the winter.

Near-IR to far-IR conversion



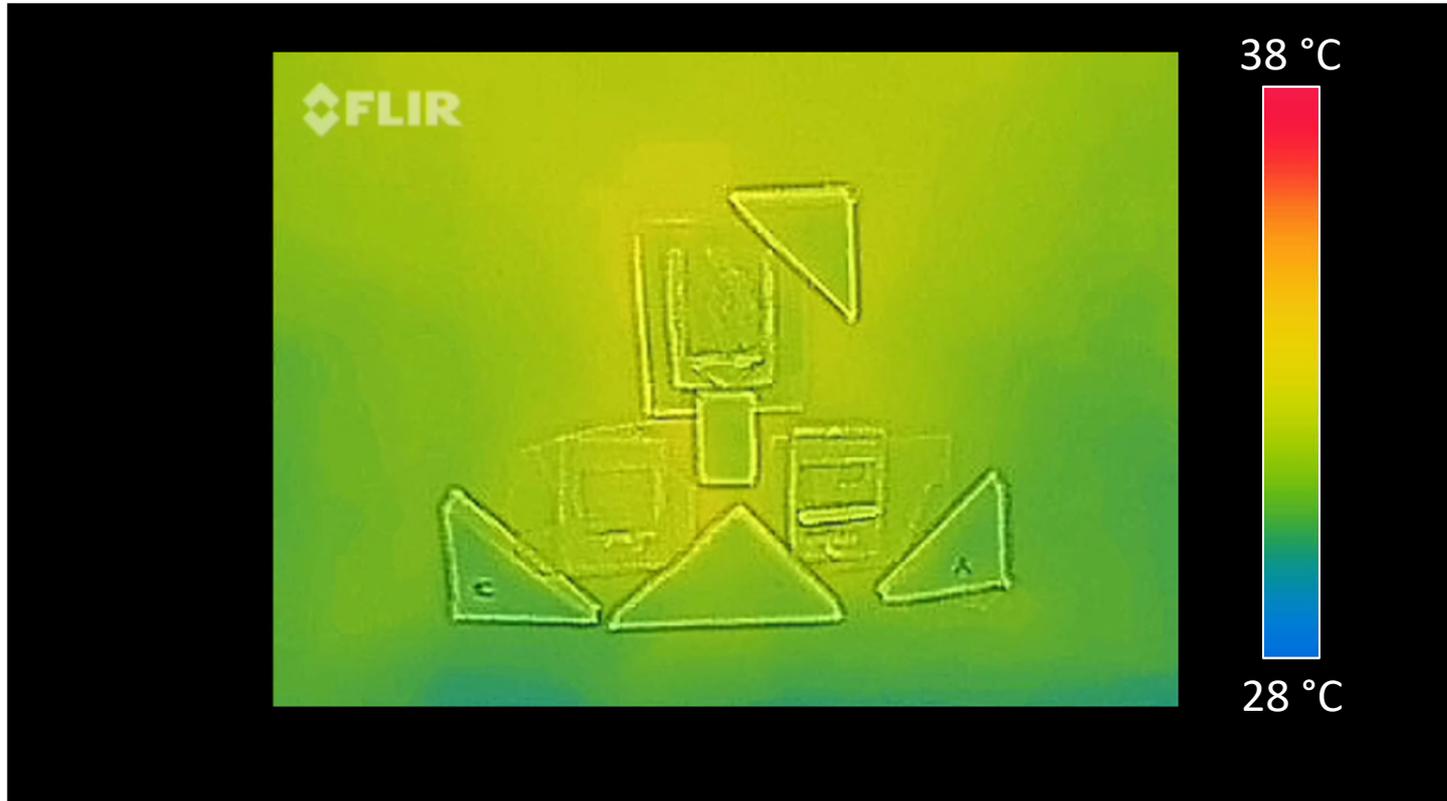
Quartz reference



Crystalline

Amorphous

Thermal response (12x speed)

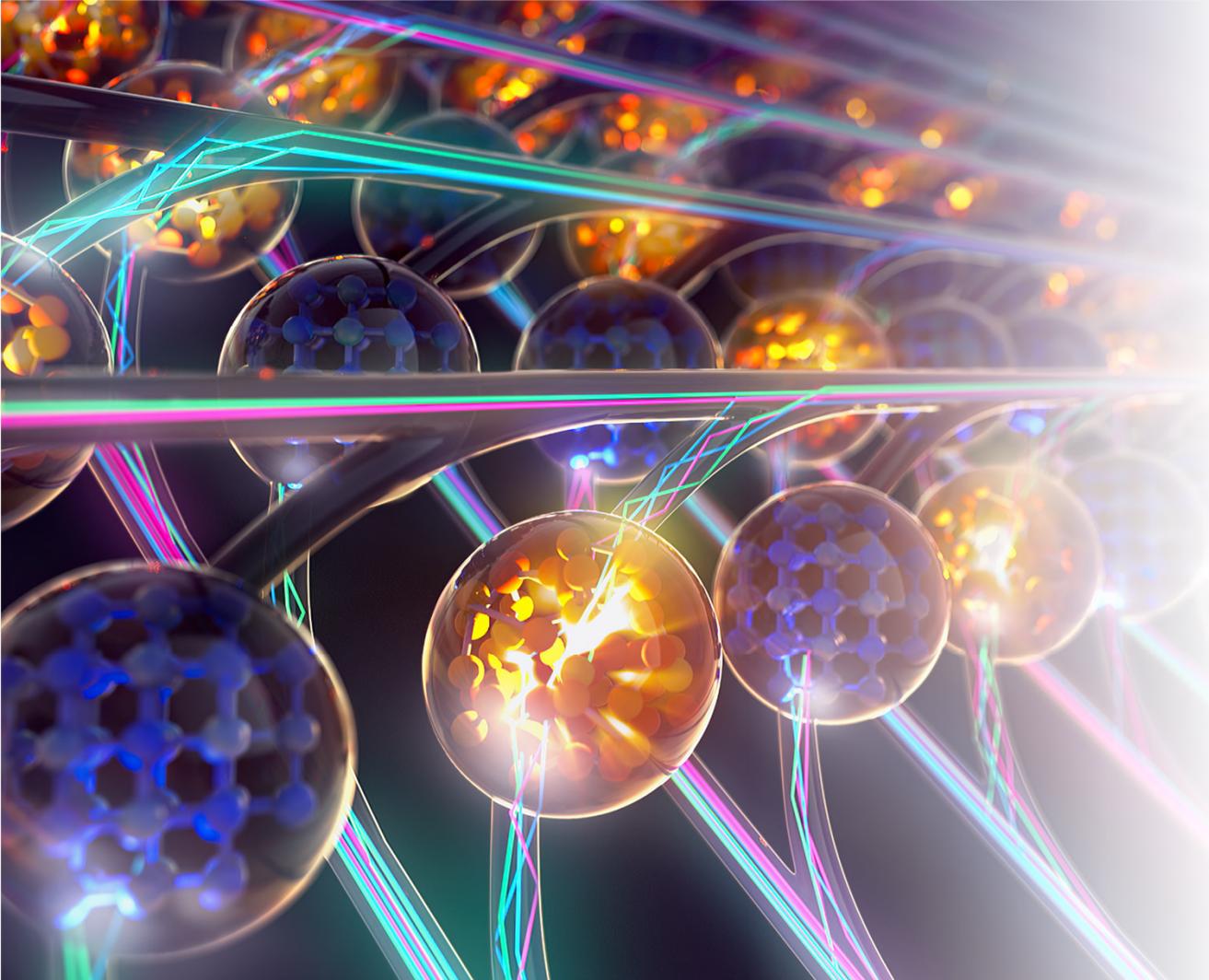


On Smart Windows...

- Demonstrated a solid state smart window using only 15 nm of PCM as an active material.
- 78% of near-IR energy is reflected in the amorphous state and 45% is absorbed in the crystalline state.
- Absorbed energy is converted to far-infrared radiation and preferentially radiates into the room
- Glazing acts as low-emissivity coating, reflecting thermal radiation from environment.

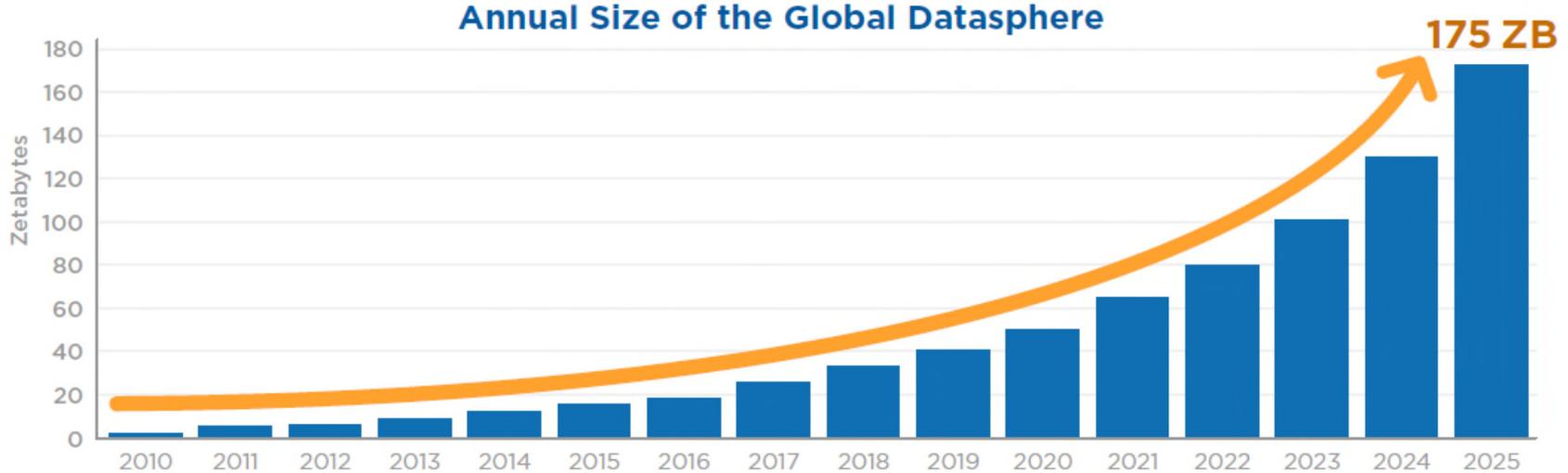


www.waftcollaboration.org



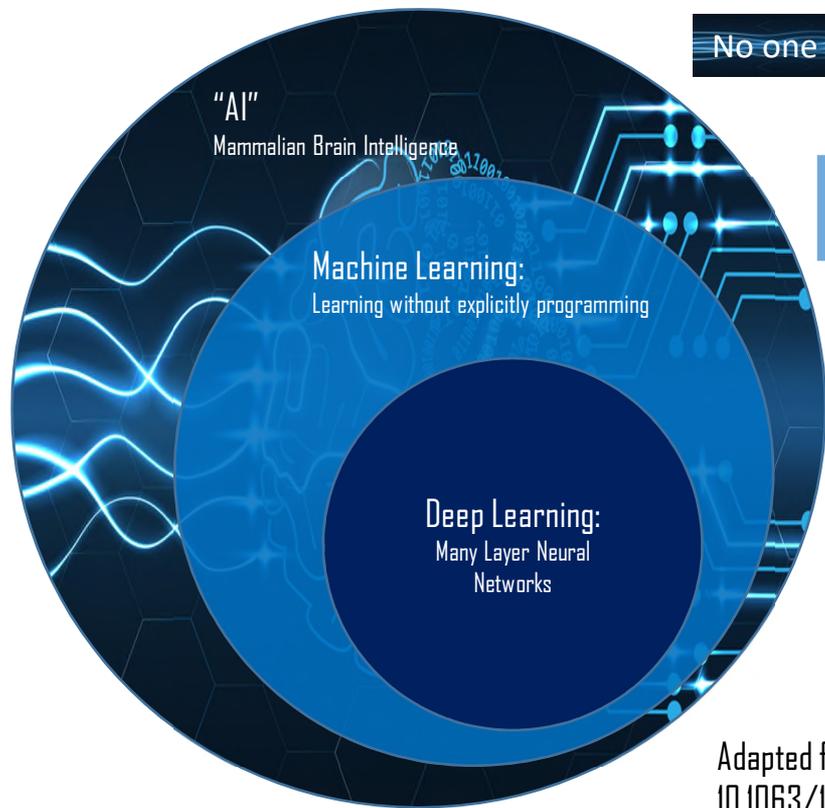
Photonic Computing for Machine Learning and AI hardware

Data volume has shot up



- AI applications currently generate 80 Exabyte/year and it is expected that it will be 845 Exabyte/year in 2025

But AI's Computing Efficiency Sucks



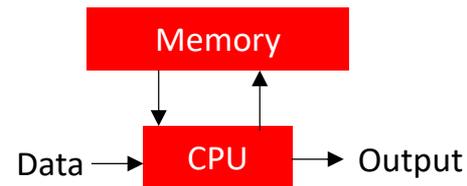
No one knows yet!

CPUs and GPUs; some specialized FPGAs

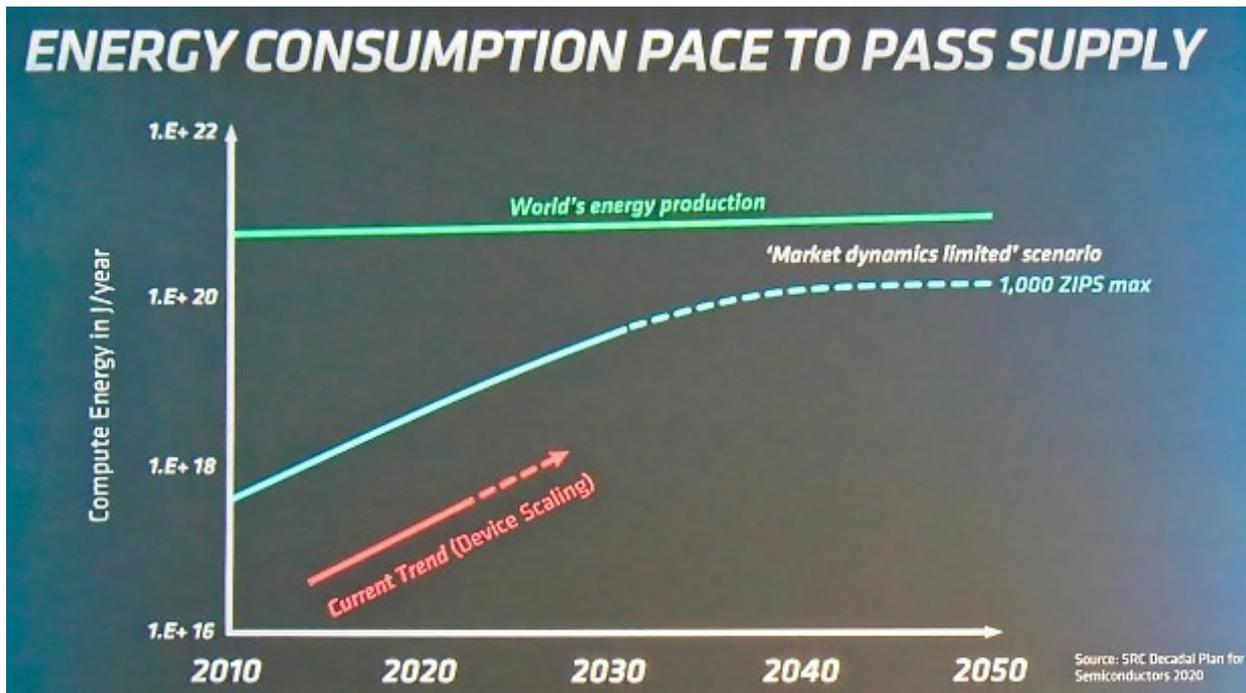
GPUs do the training; CPUs and sometimes FPGAs infer; race to "new" ASICs

Adapted from Sebastian et al, JAP 124, doi: 10.1063/1.5042413 (2018).

1 million W vs 20 W

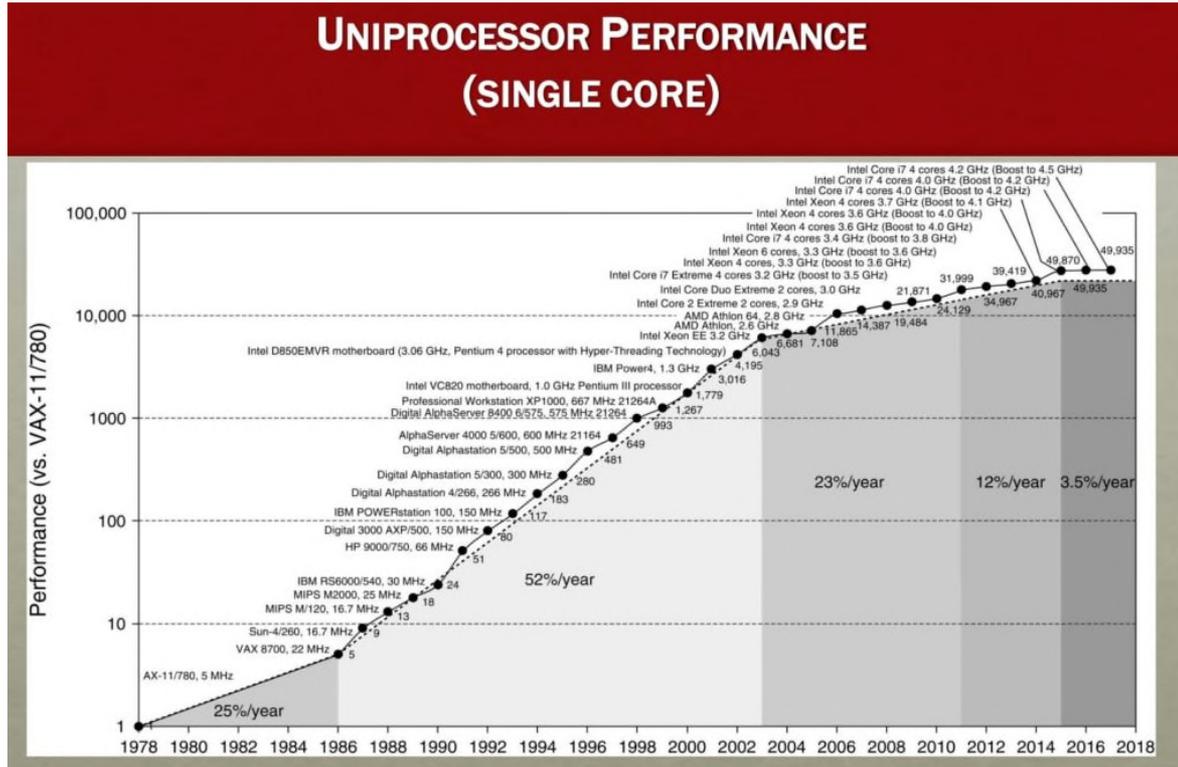


But AI's Computing Efficiency Sucks



Doing nothing is not an option

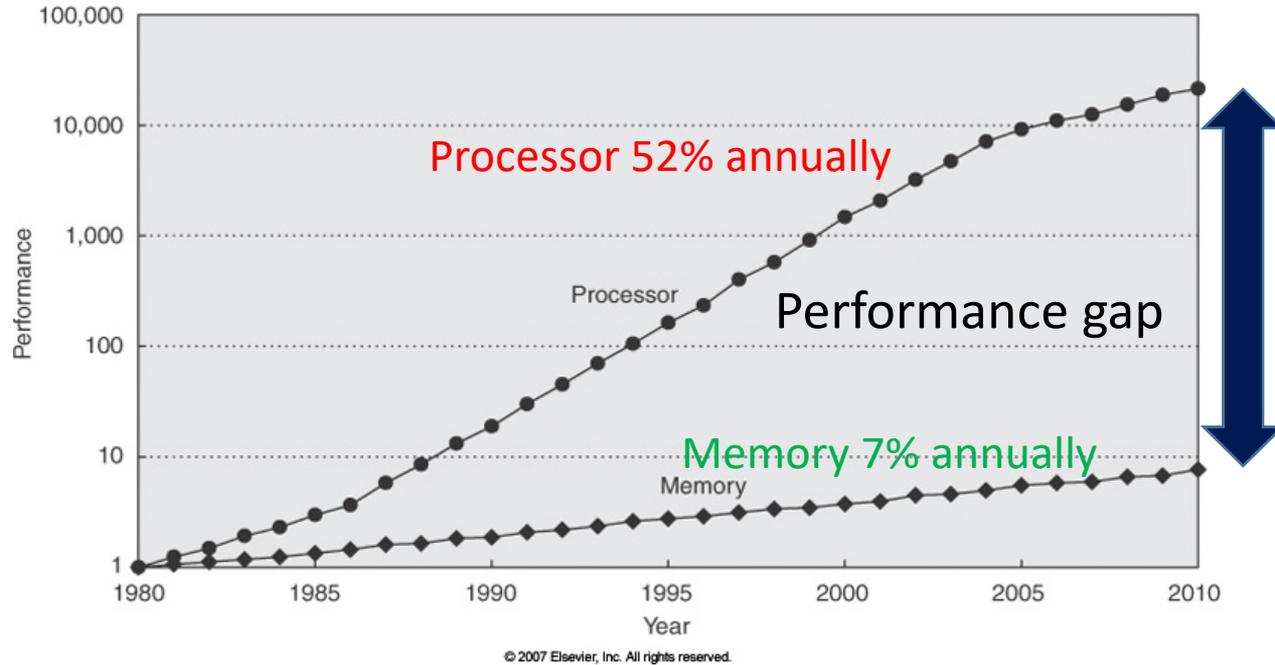
Scaling \neq Better performance/area



Solution: co-locate memory and processor using
accumulative memories

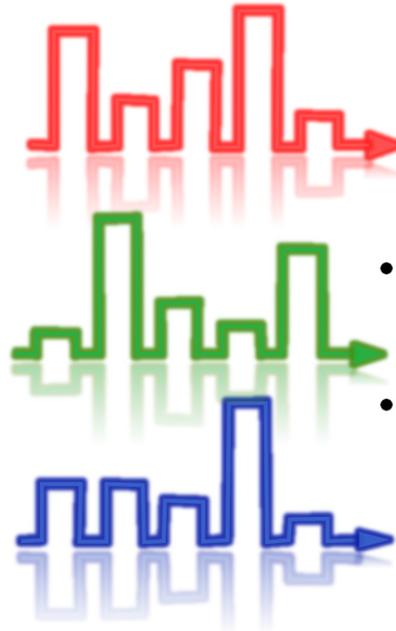
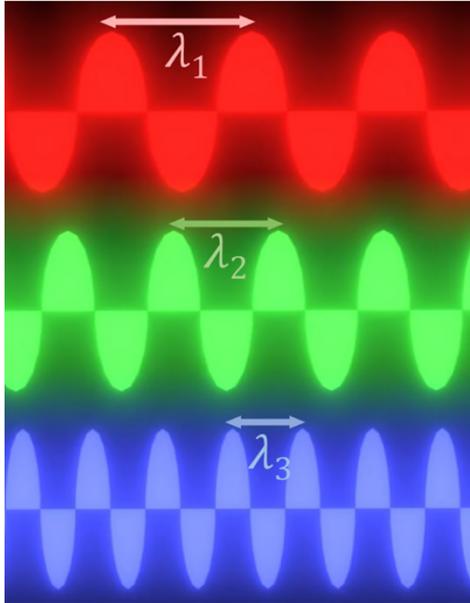
Performance gap

“Memory Wall”



Photonics is better?

“The future is optical. Photonic processors promise blazing fast calculation speeds with much lower power demands” @IEEE Spectrum (2022)



- Large bandwidths
 - Wavelength, phase, polarization, etc
- Faster communication speed

Good for communication, but for programming?

“Phase Change Optical Memory” Background

Simulations



On a hotplate



Experimental multilevel Demonstration

OPTICAL MEMORY

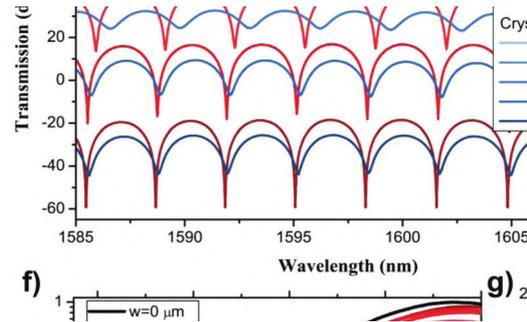
Non-volatile storage

Appl. Phys. Lett. **101**, 171101 (2012)

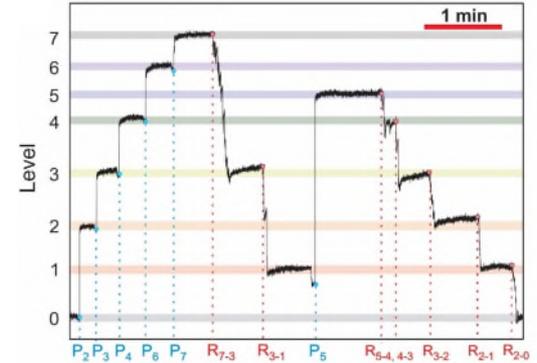


© 2012 APS

Pernice and Bhaskaran, Appl. Phys. Lett. (2012)



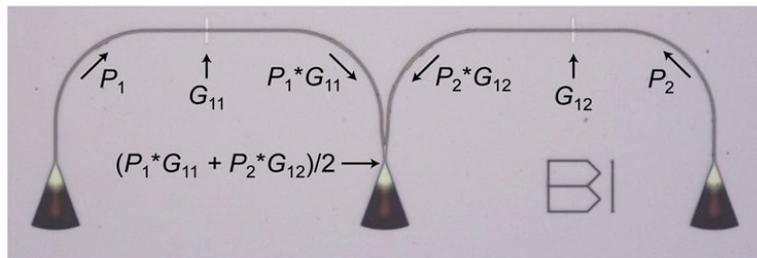
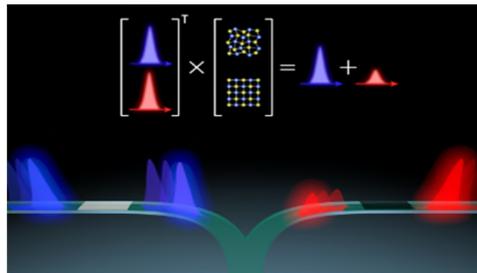
Rios et al. Adv. Mater. (2014)



C. Rios et al., Nature Photonics **9**, 725-732 (2015).

In-Memory Photonic Computing

- In-memory computing schemes are growing (IBM using electronics)
- Non-volatile, reprogrammable memory banks are efficient for inferencing applications



$$\begin{matrix} [A_{11} & A_{12}] \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = b_1 \\ \text{Matrix elements} \downarrow \text{mapped to GST} \\ \text{transmission} & & \downarrow \text{Vector elements} \\ & & \text{mapped to input} \\ & & \text{pulse power} \end{matrix}$$

$$[G_{11} \quad G_{12}] \begin{bmatrix} P_1 \\ P_2 \end{bmatrix} = b'_1$$



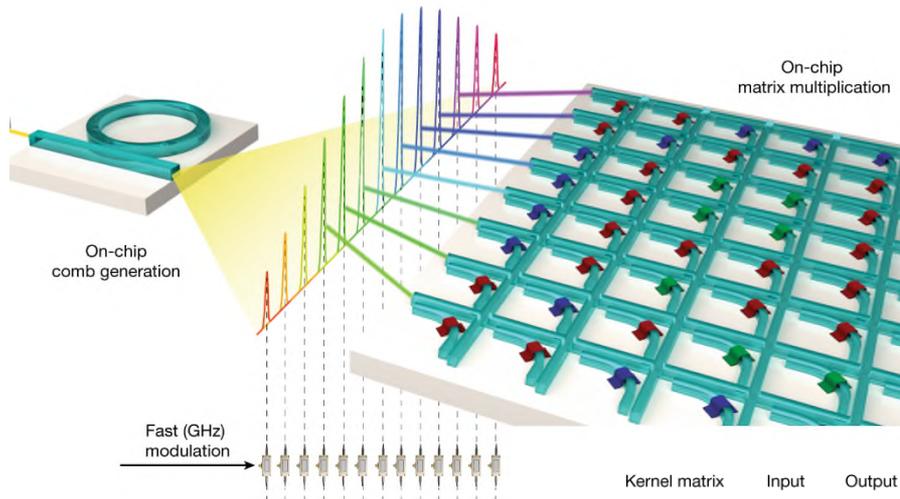
Carlos Rios
(Former Student,
now faculty @ UMD)



Nathan Youngblood
(Former Post-doc,
now faculty @ UPitt)

Rios, Youngblood et al., *Science Advances* (2019)

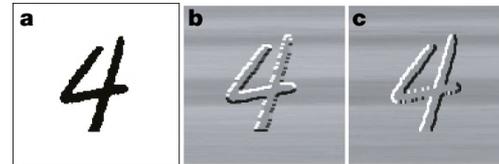
From Single Devices to Computing Systems



Feldmann, Youngblood, Karpov et al., *Nature* (2021)

$$\begin{array}{c}
 \text{Kernel matrix} \\
 \begin{pmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{m1} & a_{m2} & \dots & a_{mn} \end{pmatrix}^T \\
 \begin{array}{c} \text{Kernel 1} \quad \text{Kernel } n \end{array}
 \end{array}
 \times
 \begin{array}{c}
 \text{Input} \\
 \begin{pmatrix} X_1 \\ X_2 \\ \vdots \\ X_m \end{pmatrix}
 \end{array}
 =
 \begin{array}{c}
 \text{Output} \\
 \begin{pmatrix} Y_1 \\ Y_2 \\ \vdots \\ Y_n \end{pmatrix}
 \end{array}$$

$$Y_n = X_1 a_{1n} + X_2 a_{2n} + \dots + X_m a_{mn}$$



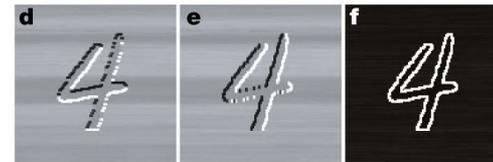
Original

Upper edge

Left edge

$$\begin{pmatrix} 1 & 1 & 1 \\ 0 & 0 & 0 \\ -1 & -1 & -1 \end{pmatrix}$$

$$\begin{pmatrix} 1 & 0 & -1 \\ 1 & 0 & -1 \\ 1 & 0 & -1 \end{pmatrix}$$



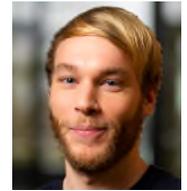
Right edge

Bottom edge

Combined

$$\begin{pmatrix} -1 & 0 & 1 \\ -1 & 0 & 1 \\ -1 & 0 & 1 \end{pmatrix}$$

$$\begin{pmatrix} -1 & -1 & -1 \\ 0 & 0 & 0 \\ 1 & 1 & 1 \end{pmatrix}$$



Johannes Feldmann
Former Postdoc, now
CTO at Salienc Labs



Nathan Youngblood
Former Postdoc, now Assistant Prof
at University of Pittsburgh

Highest compute density for inferencing – potential for 162 TOPS/mm² on SOI (in the lab, demonstrated 1.2 – compared to Google TPU ~ 0.28 TOPS/mm²)

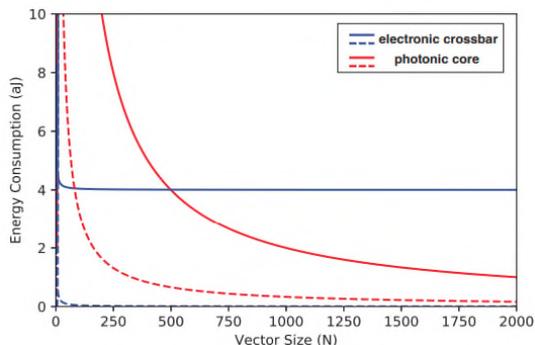
Energy consumption per MAC in a N×N array

This term is missing in photonics

$$E_{total}(electronics) = E_{charging} + E_{mod} + E_{rec} + E_{noise} = N \times CV^2 + \frac{1}{N} (E_{mod} + E_{rec}) + 2k_B T \times 2^{2N_b+1}$$

$$E_{total}(photonics) = E_{mod} + E_{rec} + E_{noise} = \frac{1}{N} (E_{mod} + E_{rec}) + \frac{h\nu}{\eta} \times \max[2^{2N_b+1}, \frac{C_{rec}V_{rec}}{e}]$$

At 4-bit precision, assuming $\eta=0.2$



This can be further optimized.

We achieve single photon data processing if $\eta=1$.

$$\eta = \eta_{laser} \times \eta_{waveguide} \times \eta_{memory} \times \eta_{detector}$$

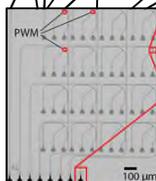
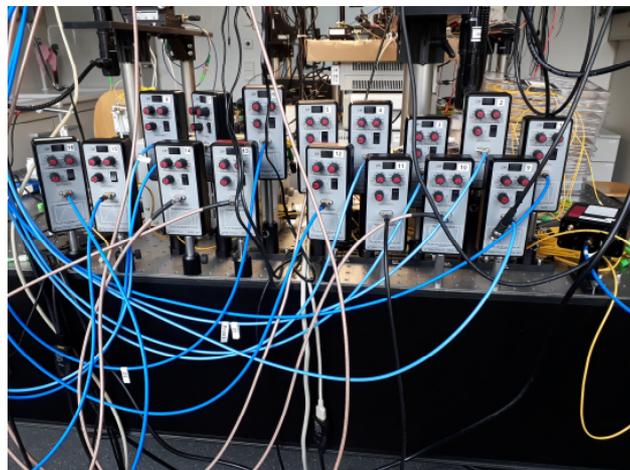
- We are working on reducing the losses in memory.
- There is collaborative effort on reducing the other three terms
- Low-precision neural network (to reduce N_b) is also a direction.

Photonics are still bulky!

Free-space optics



Integrated photonics



Nature | News & Views

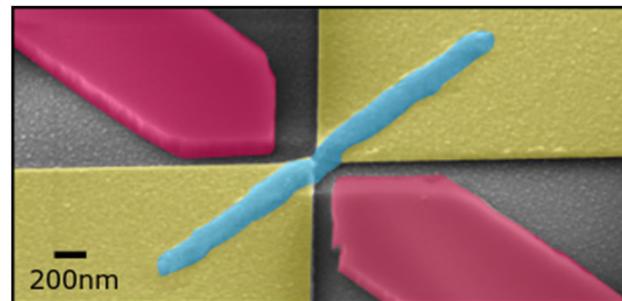
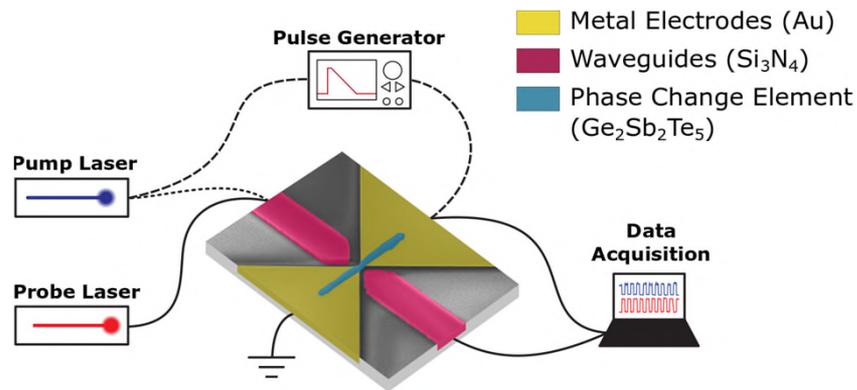
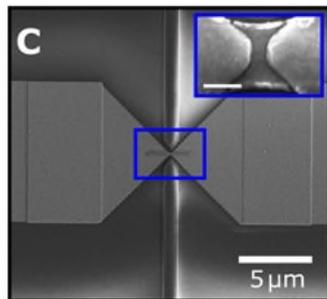
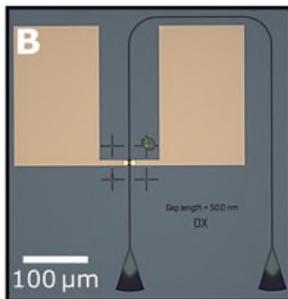
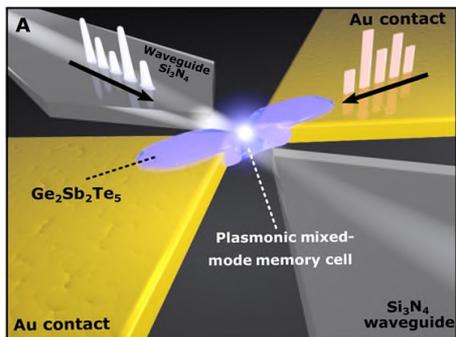
Artificial intelligence accelerated by light

Huaqiang Wu & Qionghai Dai

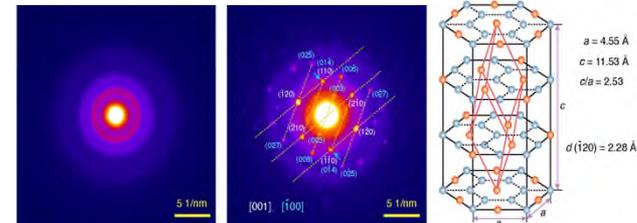
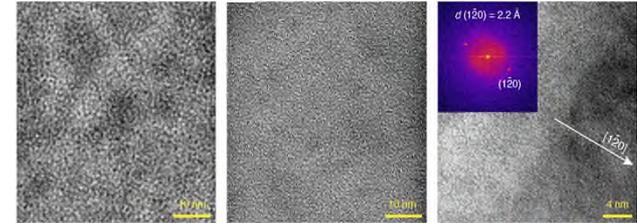
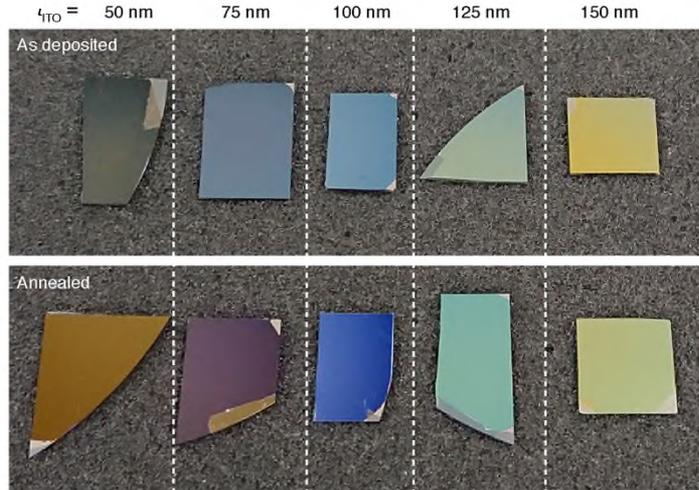
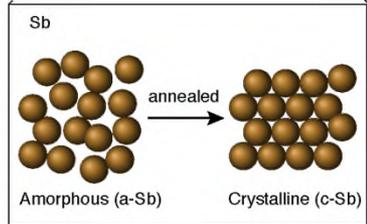
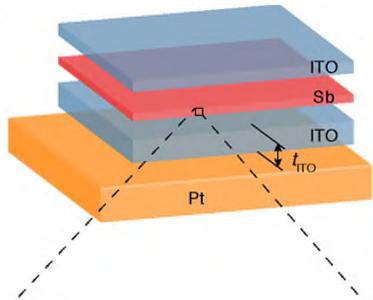
More volume of switching
= Higher energy

Plasmonic nanogap: Dual electronic and optical functionalities

Fully addressable nanoscale memory in both optical and electrical domains!



New Materials – What and How?

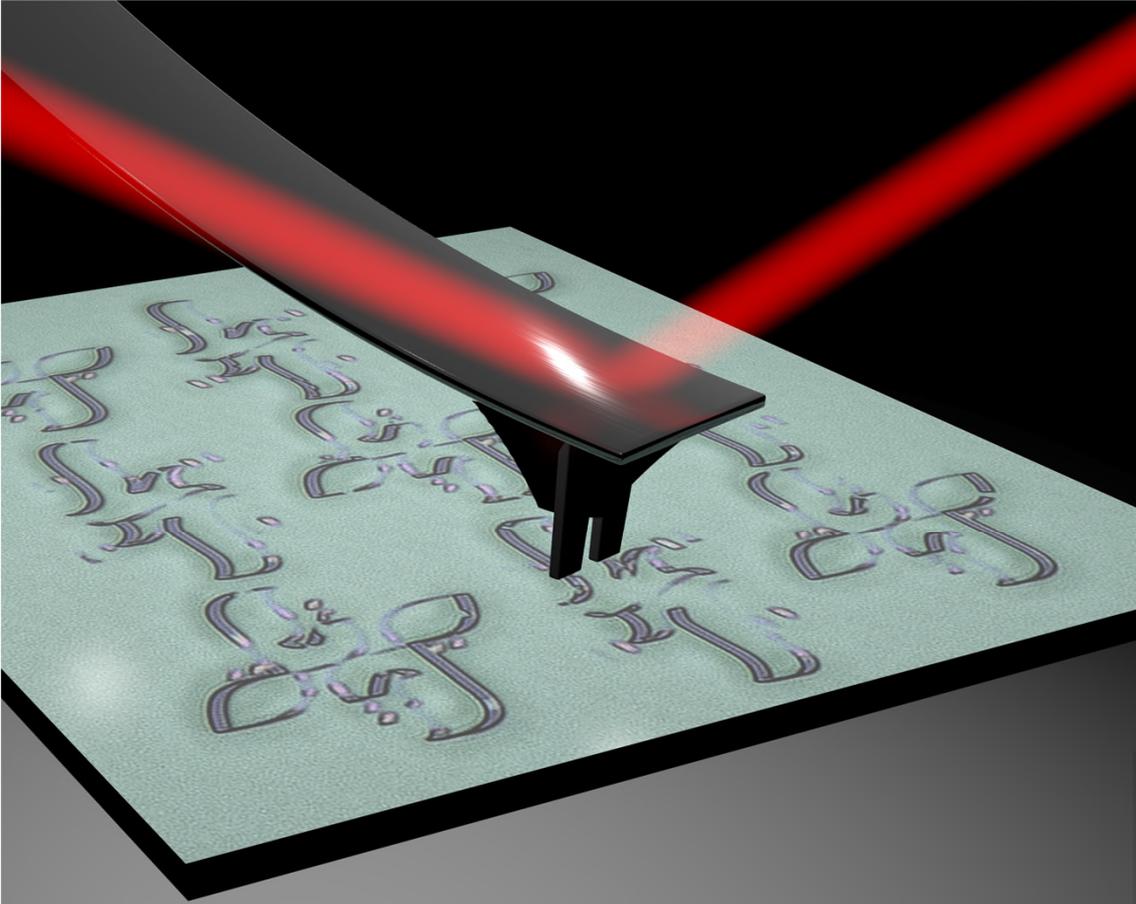


Tara Milne
DPhil Student



Zengguang Cheng
Former Postdoc now Assoc.
Prof at Fudan

Cheng, Milne et al, Science Advances (2021)
DOI:10.1126/sciadv.abd7097



Nanomanufacturing

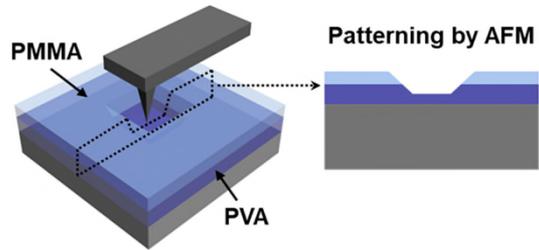
Nanomanufacturing is not sustainable

- The manufacturing of a typical 2g chip takes 1.6 kg of fossil fuel, 72 g of chemicals and 32 kg of water.
- Over 200 high-purity organic and inorganic chemicals are used for the manufacture of semiconductor devices.
- According to author Harvey Black of the *Environmental Health Perspectives Journal*, in San Jose, California “it costs \$28 per ton to landfill waste compared with \$147 a ton to recycle”.

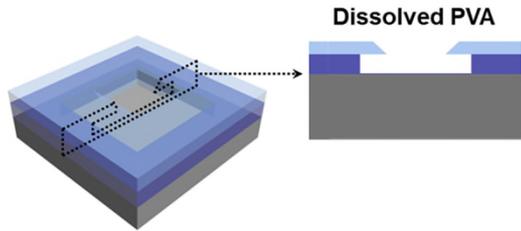
Sustainability in nanomanufacturing



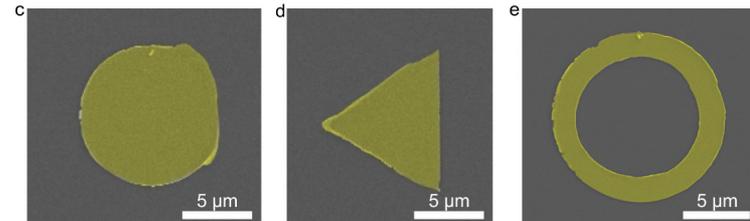
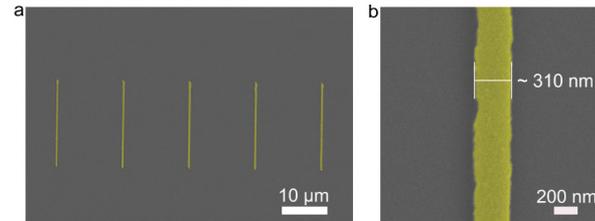
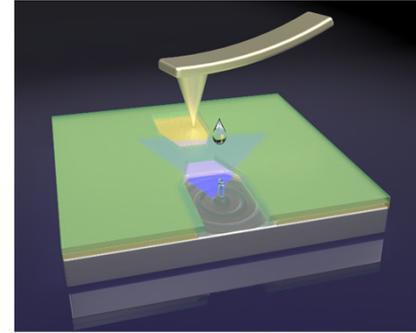
Yu Shu (DPhil)



Development in water



Yu Shu et al. Nano Letters 21, (2021)

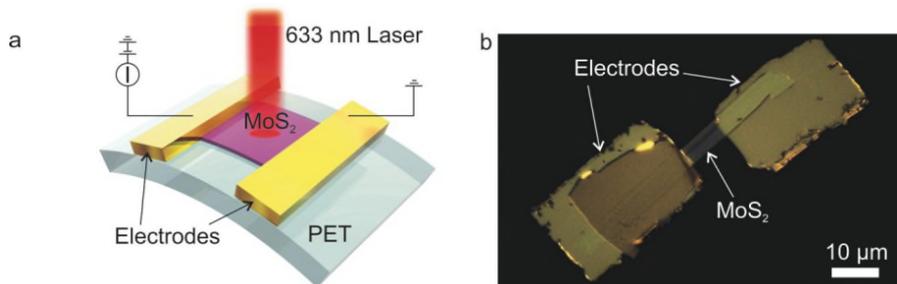


Flexible MoS₂ photodetector

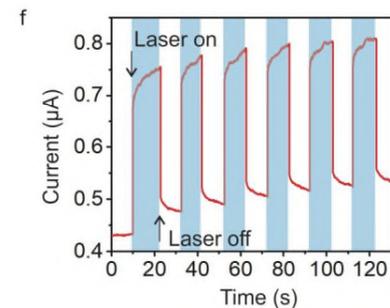
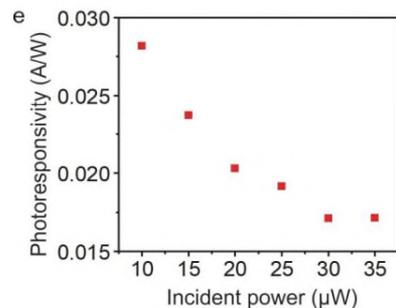
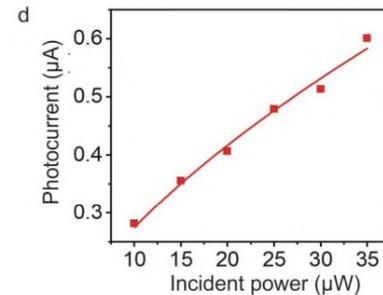
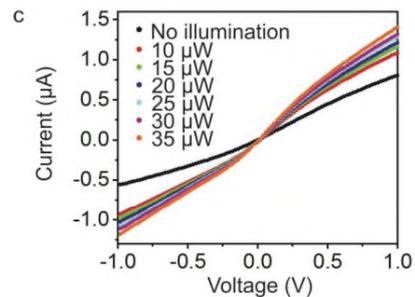


Yu Shu (DPhil)

Photoresponse time improves to 42 ms owing to the water-based fabrication process.



Yu Shu et al. *Nanoletters* 21, (2021)

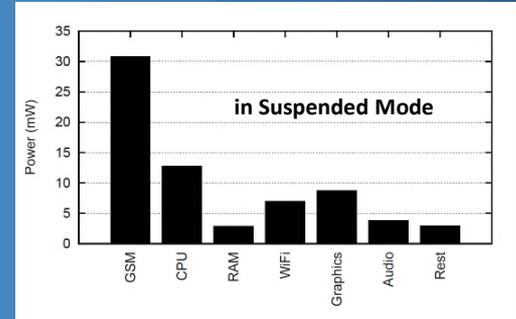
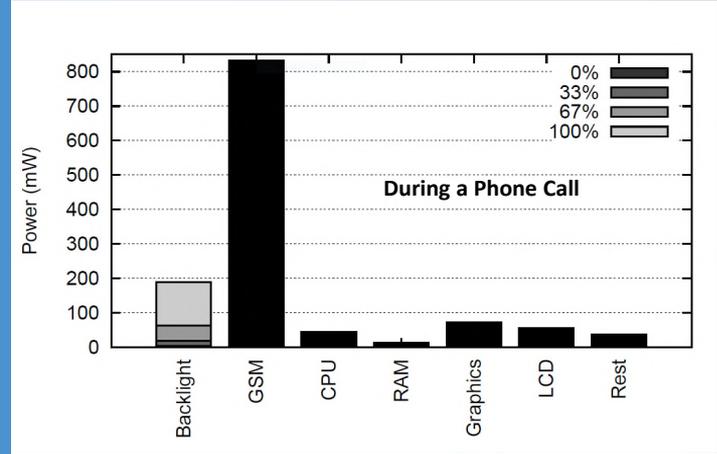


Communication receivers

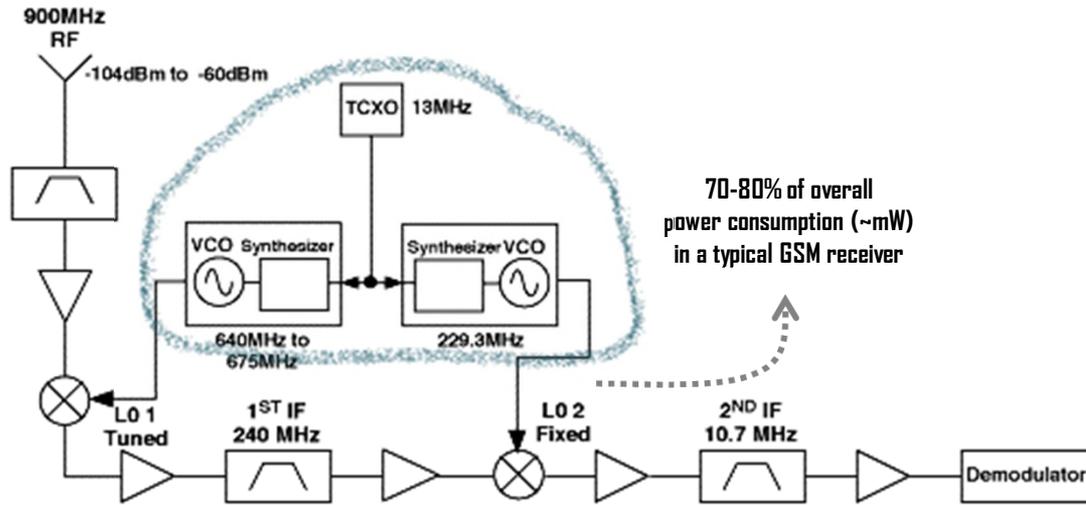
Battery is running low!



Average Power Consumption of a Smart Phone



Frequency synthesis



Ref: Analog Devices, <https://www.analog.com/en/analog-dialogue/articles/pll-for-high-frequency-receivers-and-transmitters-1.html>

PLL based:

- **PLL synthesizers** usually require > 100 mA (d.c.) from the voltage supply. → ~0.5 W

Traditional NEMS based:

- **Capacitive** tuning requires fast-settling & high-precision D/A converters.
 - e.g. AD7524 (8-bit, 0.3 μ s settling, 30 mW) or LTC8043 (12-bit, 1 μ s settling, 10 mW)
- **Electrothermal** tuning consumes ~3 mW just to tune the resonator (plus DACs).

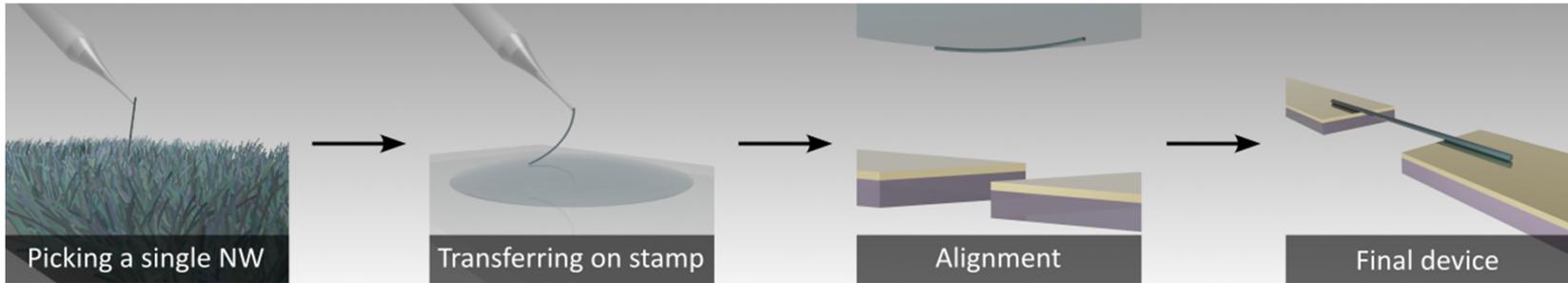
Phase-change NEMS based:

- **Phase-change** tuning does not require tuning power.
 - Even in a frequency-hopping system, with the fastest tuning speeds required (10 μ s), the dynamic tuning power is < 6 μ W.

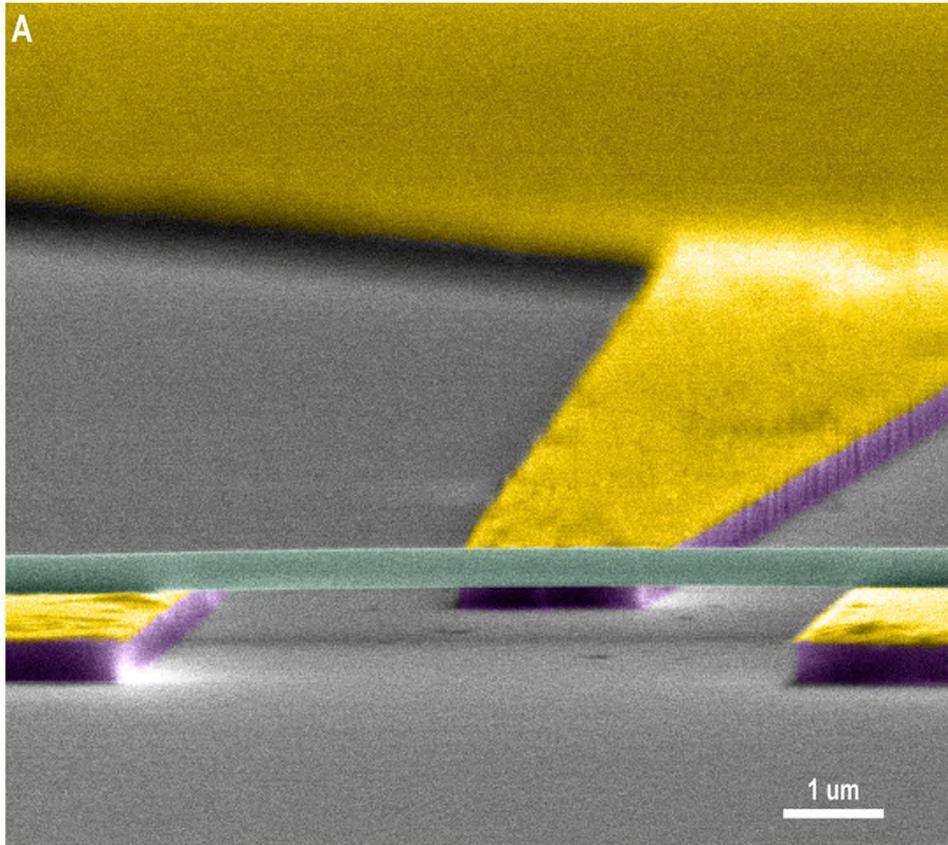
Thus, phase-change NEMS would be **100x more efficient** than traditional NEMS and **20,000x more efficient** than commercial PLL based synthesizers.

Pick-and-Place of Nanomaterials

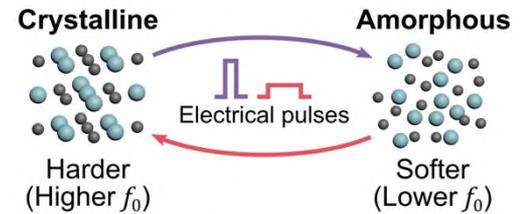
- Allows integration of exotic nanomaterials in real devices



Phase-change nanowires



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PHOENICS

Fun-Comp



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